



Newborn infant skin: Physiology, development, and care



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Abstract Infant skin is critical to the newborn child's transition from the womb environment to the journey to self-sufficiency. This review provides an integrative perspective on the skin development in full term and premature infants. There is a particular focus on the role of vernix caseosa and on the implications of skin development for epidermal penetration of exogenous compounds. Healthy full-term newborn skin is well-developed and functional at birth, with a thick epidermis and well-formed stratum corneum (SC) layers. Transepidermal water loss is very low at birth, equal to, or lower than adults, indicating a highly effective skin barrier. Vernix facilitates SC development in full-term infants through a variety of mechanisms including physical protection from amniotic fluid and enzymes, antimicrobial effects, skin surface pH lowering, provision of lipids, and hydration. Premature infants, particularly those of very low birth weight, have a poor skin barrier with few cornified layers and deficient dermal proteins. They are at increased risk for skin damage, increased permeability to exogenous agents and infection. The SC barrier develops rapidly after birth but complete maturation requires weeks to months. The best methods for caring for infant skin, particularly in the diaper region, are described and related to these developmental changes.

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Introduction

At birth, a newborn infant goes from the warm, wet, sterile, and safe womb to a cooler, dry, bacteria-laden nursery; concurrently the baby begins the journey to self-sufficiency by breathing air, taking nutrition, and maintaining body temperature. Newborn skin is critical to this transition and performs many functions, including: (1) barrier to water loss, light, and irritants; (2) infection control and immunosurveillance; (3) resilience to mechanical trauma; (4) sensation and

tactile discrimination; (5) thermal regulation; and (6) acid mantle formation.

In the context of neonatal skin development, the question of maturity of the epidermal barrier, at birth, is not yet fully resolved. Some authors affirm that, at birth, the skin is immature relative to the adult human,¹ whereas others report evidence consistent with the view that infants are born with a fully or near fully competent epidermal barrier.² Although the former may find distinctions between adult and infant skin (such as differences in natural moisturizing factors [NMF] or content and size of corneocytes),³ evidence from the latter is more limited, given that functional end point measurements often require invasive procedures that pose ethical limitations in the context of pediatric research.

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As a viable alternative to more invasive procedures, transepidermal water loss (TEWL), that is, the rate at which water vapor from respiration passes through the skin layers into the environment (Figure 1), is generally accepted as a robust indicator of epidermal barrier function and skin integrity.⁴ Normal TEWL is 4 to 8 g/m²/hr^{5,6} with lower values generally indicating an effective stratum corneum (SC) barrier, and high values meaning the barrier is damaged, not well formed, or has fewer than the normal 16 layers. Evidence from age-related TEWL studies support the predominant view that infant skin barrier function, at birth⁶ or very soon thereafter (within 2–4 weeks), is in the same range as that of healthy adults.^{6–9} These observations on skin barrier condition in the healthy newborn align well with reports that neonates and young infants tolerate and respond well to a variety of skin-cleansing regimens.^{10,11} Even so, less than universal consensus on the question of neonatal epidermal barrier maturity may help to explain differential opinions about care practices for newborn infant skin,¹² as well as variations in clinical practice.

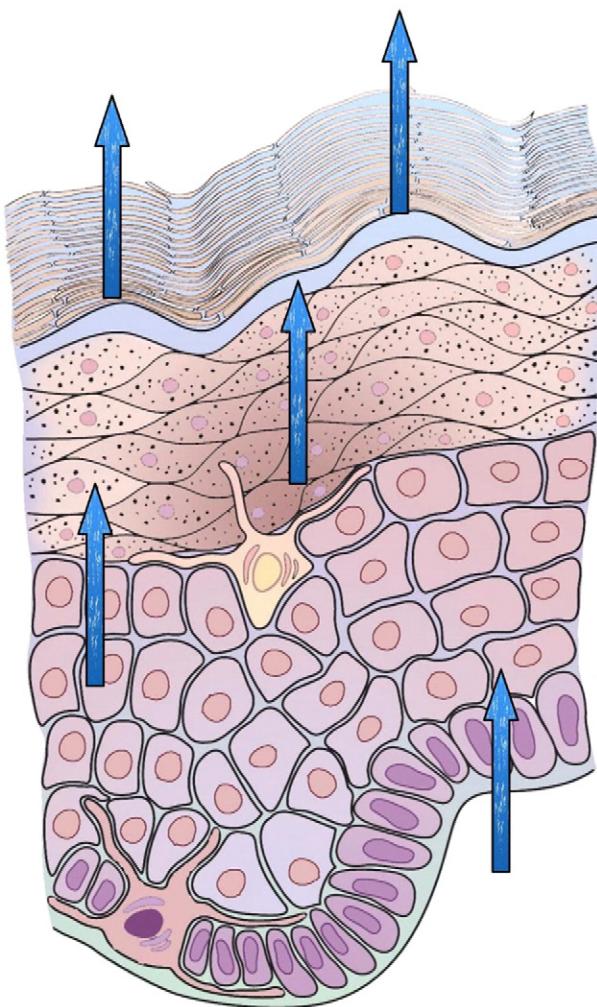


Fig. 1 Transepidermal water loss (TEWL).

This review provides an integrative perspective on the structure and development of skin from the preterm to the full-term infant with a particular focus on the role of vernix caseosa and on implications of skin development for epidermal penetration of exogenous compounds.

Physiology and development of newborn infant skin

Skin structure

Infant skin consists of three major layers, the SC, the viable epidermis and the dermis, and specialized cells within them, as shown in Figure 2. The SC is in direct contact with the environment and is the main barrier to water loss and penetration by outside agents. Langerhans cells (antigen presenting immune cells) serve as the first line of defense if the SC is breached. The melanocytes (pigment cells) in the lower part of the epidermis produce melanin, the pigment responsible in part for inherent skin color.

When the skin is exposed to sunlight (ultraviolet radiation), melanocytes are activated and transport melanin to shield the living epidermal cells, protecting the DNA. Skin darkening (tanning) is a result of this process. The pigmentary system is also influenced by irritation and inflammation by producing more pigment (hyperpigmentation) or by deactivation (resulting in hypopigmentation). By design, the outermost SC is difficult to penetrate and provides a barrier to liquid water loss while allowing water vapor (transepidermal water) to be lost. The SC has about 16 layers of flattened cells (corneocytes), joined together by molecular “rivets” called desmosomes below.^{13,14} Lipids (cholesterol, fatty acids, ceramides) are secreted from the lamellar bodies into the spaces between the corneocytes to form a regular “brick (cells) and mortar (lipids)” structure. The lipids form a regular, ordered bilayer structure alternating with water between the cells. The epidermis continually builds and replenishes the SC as the outermost cells are released from the surface through desquamation.

Full-term infant skin

Despite being exposed to water and amniotic fluid for 9 months, full-term newborn skin is well-developed and functional at birth, with thick epidermis and well-formed SC layers.^{15,16} TEWL in healthy full-term infants is very low at birth (equal to or lower than adults), indicating a highly effective skin barrier.^{6–9,17,18}

This raises the question of how this excellent barrier develops while continuously immersed in water. This is particularly intriguing, because in the extra-uterine environment (ex utero) continuous exposure to water causes significant skin damage (including maceration of the SC and disruption of its well-organized structure) and injury to the epidermis. It actually prevents the formation of new SC. Ex utero, air exposure

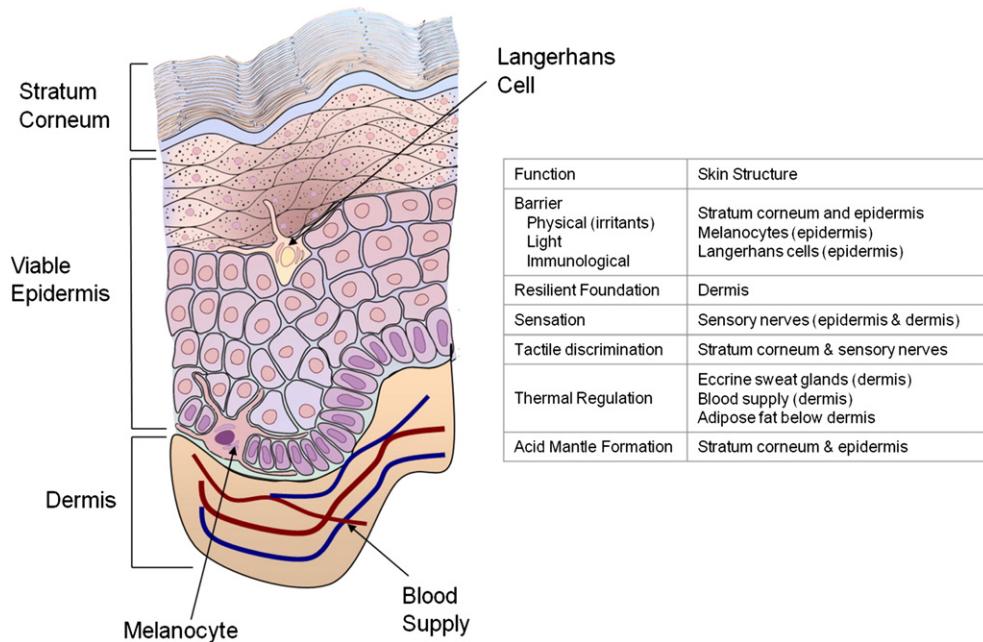


Fig. 2 Structure of skin.

stimulates the formation of new SC after injuries such as abrasions, where the barrier is completely removed. In utero, absence of air exposure and continuous water immersion do not impede SC formation.

Infant skin development—vernix caseosa

During the last trimester, vernix caseosa begins to coat the skin from head-to-toe and back-to-front. The vernix coating protects the epidermis from water exposure and creates a drier condition, which may allow the SC protective barrier to form.¹⁹ Vernix is a complex mixture of 80% water, 10% protein, and 10% lipids consisting of cells coated with an amorphous mixture of lipids.²⁰ Its remarkably high water content is associated with the cells, and hormones from the mother and placenta likely control its formation. The vernix caseosa lipid-cell mixture is squeezed out through the hair shaft onto the skin (epidermis) surface and spreads over the entire surface as production continues.²¹ Vernix is hydrophobic (water-repelling) due to the lipids, which cover the hydrated cells.²²

Vernix has several other functions.²³ It contains antimicrobial agents including lysozyme and lactoferrin and exhibits a range of bioactivity against common fungal and bacterial pathogens.^{24–27} In some settings, vernix is removed immediately after birth. Reported evidence suggests there may be value in reconsidering this practice. One study found that vernix retention, compared to vernix removal immediately after birth, led to significantly higher skin hydration 24 hours after birth and lower skin pH, suggesting that vernix assists in acid mantle development.¹⁹

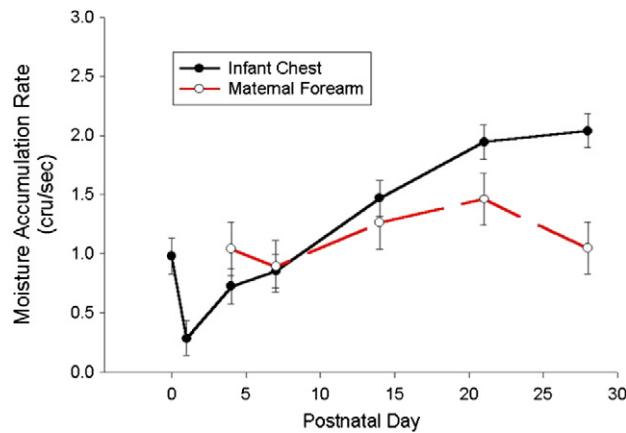
Other research has shown that vernix treatment of adult volar forearm skin increased SC water binding capacity,²⁸ and native

vernix treatment of SC wounds (tape stripped skin) assisted barrier repair relative to controls and demonstrated wound-healing properties.^{29–31} In model systems, vernix enhanced SC formation without increasing epidermal thickness.^{30,31} Films of vernix impeded the penetration of the exogenous enzyme chymotrypsin (found in meconium, similar proteolytic enzymes present in feces) and maintained the activity of native enzymes (necessary for epidermal development) *in vitro*.³² Vernix contains the cytokines interleukin-1 alpha and beta (IL-1 α , IL-1 β), tumor necrosis factor alpha (TNF α), interleukin-6 (IL-6), interleukin-8 (IL-8), and monocyte chemotactic protein-1 (MCP1),³³ as well as cholesterol, ceramides, and a number of fatty acids (including oleic, linoleic, and long-chain species).^{26,34} Fatty acids (particularly linoleic, which has antiinflammatory properties³⁵) activate peroxisome proliferator-activated receptor-alpha (PPAR α), which increases the rate of barrier formation.³⁶

Overall, the vernix facilitates development of the SC protective barrier in normal, full-term infants through a variety of protective and adaptive mechanisms. These findings support the practice of vernix retention for at least 6 hours (rather than removal) at birth, as recommended by the World Health Organization.³⁷

Newborn skin adaptation after birth

Adequate SC hydration is essential for plasticity and flexibility during movement, to prevent cracking and for desquamation of the outermost layer.^{38,39} Soon after birth, newborn skin hydration varies by body site (chest, back, forehead), time under the radiant warmer, and the presence of vernix.^{2,8,19,40,41} Skin hydration decreases rapidly in the first



Source: Visscher et al, 2000^[44]

Fig. 3 Skin hydration of newborn versus mother's skin. Source: Visscher et al.^[44]

day and then increases during the first 2 weeks, in contrast to mother's skin, where hydration is quite constant (Figure 3). Water binding ability also increases during the first 14 days. Newborn skin is significantly drier than the skin of older infants (1, 2, and 6 months) and the mothers.^{1,2,42,43} These changes in hydration and water binding indicate that the skin is adapting to the new environment.⁴⁴ Skin dryness and peeling is commonly observed for infants of post-term gestation. Infants of 41 to 42 weeks gestation had significantly lower amounts of vernix at birth.¹⁹ Consequently, post-term neonatal skin may experience a long period of hydration by amniotic fluid. Frequent exposure to water disrupts the SC lipid bilayer architecture.⁴⁵ In response, the epidermis up-regulates SC formation, resulting in hyperproliferation and inadequate desquamation.⁴⁶ Prolonged water exposure in utero may contribute to post-term skin dryness.

Low hydration may result from several interacting factors including lack of water binding molecules in the upper SC due to extraction into the amniotic fluid in utero^{47,48} and/or to delayed or impaired filaggrin proteolysis at high humidity.⁴⁹ To identify possible causes, the levels of water-binding free amino acids (FAA) in infant SC have been measured. The FAA constitutes about 40% of NMF, a mixture of low molecular weight, hygroscopic products of filaggrin proteolysis in the SC. In the absence of vernix, FAA levels were extremely low at birth, increased over the first month but remained markedly lower than typical adult levels.^{50,51} FAAs were significantly lower across ten layers of neonatal foreskin compared to adult volar forearm skin. The finding of reduced FAAs in neonates at birth and 1 month extends the finding of lower NMF in 3- to 12-month-old infants versus adults with Raman confocal microspectroscopy.¹

Native vernix contains FAA, and newborn skin with vernix has significantly higher levels of FAA 24 hours after birth compared to skin with vernix removed, paralleling the higher skin hydration.⁵¹ FAAs in vernix caseosa-retained skin are attributed to the vernix rather than to proteolysis of filaggrin in the upper stratum corneum secondary to a

reduction in ambient humidity.⁴⁹ Vernix provides water binding moieties (FAAs) that can facilitate the sudden adaptation from amniotic fluid immersion in utero to the dry ambient conditions after birth.

An acid surface is necessary for the effective functioning of enzymes in stratum formation and integrity, that is, lipid metabolism, bilayer structure, ceramide synthesis, and desquamation,^{52,53} for bacterial homeostasis, skin colonization, and inhibition of pathogenic bacteria.^{54–56} Full-term infant skin acidity (pH) is relatively neutral at birth, decreases significantly during the first 1 to 4 days, and continues to drop during the first 3 months, as the enzymes needed to generate acidic components are activated.^{18,44,57} Acidification via free fatty acids is required for SC-cell cohesion while an increased pH may reduce SC integrity and enhance susceptibility to mechanical trauma.⁵⁵ Topical treatment of the SC with PPAR α activators increases the rate of skin pH lowering after birth in neonatal animals, demonstrating that the adaptive mechanisms can be influenced with exogenous materials.⁵⁸ Acidification of the SC enhances its integrity and cohesion, in part by increasing lipid processing⁵⁹ improves SC barrier homeostasis in neonatal as well as aged skin.⁶⁰ The application of acidic treatments has been proposed as a method for treating skin inflammation, barrier disruption and for normalizing SC structure and function.⁵⁹ The antimicrobial protein lysozyme is present in newborn SC at levels five times higher than adults and its activity was not altered with routine bathing.⁶¹ For this reason, newborn skin is part of the innate immune system and to the infant's defense against bacterial infections.

Premature infant skin

Unlike a full-term baby, the premature infant has a poor epidermal barrier with few cornified layers and is at risk for increased permeability to exogenous materials, additional skin damage, delayed barrier maturation, and infection.^{62,63} The dermis is deficient in structural proteins, the mechanical properties are poor, and the skin is easily torn.⁶⁴ The SC structural integrity is related to gestational age at birth. Preterm SC formation is rapid with exposure to a dry environment^{65,66} and certain neonatal intensive care unit (NICU) practices (eg, incubator humidity) facilitate SC barrier maturation.⁶⁷ Babies born prematurely (ie, <28 weeks) do not have the covering of vernix.

Very low birth weight infants are at greatest risk for skin damage. At 23 weeks, the SC is nearly absent with TEWL of 75 g/m²/hr (Figure 4).⁶⁸ By week 26, a few cornified layers have formed (TEWL of ~45 g/m²/hr), indicating a wounded skin surface.^{62,63} At 29 weeks, TEWL is 17 g/m²/hr and markedly higher than values of 4 to 6 g/m²/hr observed in full-term infants. Around weeks 34 and 35, the barrier is relatively well-formed.

Poor SC integrity increases the risk of high water loss, electrolyte imbalance, thermal instability, and increased exposure to irritants and infectious agents (due to increased permeability). After birth, the barrier continues to develop,

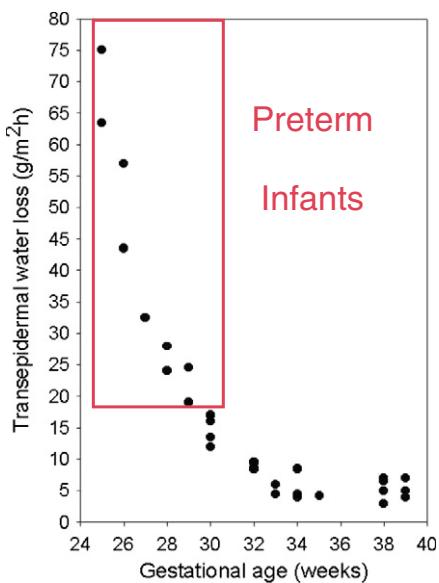


Fig. 4 Transepidermal water loss (TEWL) of preterm infants. Reproduced with permission from Sedin et al.⁶⁸

but even after 1 month TEWL is significantly higher than normal full-term infants.⁶⁷ Skin hydration is significantly higher for infants < 30 weeks gestational age than infants > 30 weeks due to high amounts of water passing through the skin.⁶⁶ By day 5 of life, skin hydration is significantly lower for premature infants (ie, <27 weeks gestational age) indicating rapid barrier development. Environmental humidity influences the rate and quality of SC barrier maturation. Low humidity (10% relative humidity, animal model) led to reduced hydration and increased epidermal DNA synthesis indicating that low hydration triggers cell proliferation.⁶⁹ The time to complete barrier maturation may be as long as 9 weeks postnatal age^{65,70–72} and longer for complete acid mantle formation.⁷³

Clinically, abnormal desquamation (ie, large scales indicative of SC hyperproliferation) is noted for very premature infants and may occur for several weeks after birth. Humidity affects the breakdown of filaggrin in the epidermis to form NMF which, in turn, hydrates the skin.⁷⁴ NMF levels are likely to be quite low under the conditions of rapid skin development for premature infants in low humidity settings, based on results of newborn animal studies.⁴⁹

Dermal absorption/penetration

Soon after birth, the SC of the full-term infant is remarkably capable of providing an effective semi-permeable barrier between the inside and outside of the body.^{8,57,65} On the basis of TEWL and dermal absorption studies, term infants seem to possess a fully developed, competent SC^{8,63} with adult barrier properties.⁶⁵ Certain features, including skin thickness, acidity (pH), and hydration, progressively adapt during the first weeks and months of life. Importantly, though, these adaptations do

not appear to prevent a fully competent barrier function from being expressed in the term neonate.

The diaper area and nondiapered regions are indistinguishable at birth but show differential behavior over the first 14 days, with the diapered region having a higher pH and increased hydration.^{3,8,44} Differences in skin pH change the ionization grade of molecules and will influence dermal absorption.^{75,76} Hydration favors penetration of hydrophilic substances. Despite modern improvements in diaper technology,^{77–79} irritant diaper dermatitis cannot completely be avoided, and the resulting damage to the epidermal barrier may potentially favor dermal absorption of xenobiotics. A number of molecules, which historically have been used in the diaper area, are known to induce systemic toxicity and should only be used very carefully and only when indicated (eg, hexachlorophene, dichlorophene, corticosteroids, boric acid, and ethanol).^{80,81} Innovative hygiene absorbent and baby care products, however, provide an increasingly good skin compatibility profile, which in recent years seem to have reduced the frequency and severity of diaper dermatitis.^{82–84}

Care of newborn skin

Bathing

Skin cleansing products usually contain surfactants that remove dirt and soils with rinsing. Because they emulsify lipids, surfactants can damage the SC lipids, increasing skin permeability and causing skin irritation (Figure 5). Surfactants skin irritancy varies from “mild” or “minimally damaging” to very harsh and can remain on the skin after bathing.⁸⁵ There is evidence that bathing is superior to washing and that add-on of syndets or liquid baby cleansers may be superior to bathing in water alone.¹⁰ Recommendations for infant bathing include use of liquid products with very low irritancy surfactants, minimizing the amount used,¹⁶ rinsing well, and avoiding “over bathing” (ie, multiple exposures in a short period).

Diaper skin care

Reduction of diaper skin moisture is essential for maintaining healthy skin.⁸⁶ Disposable diapers absorb urine/moisture, wick it away from the skin, and prevent rewetting over time.⁸⁷ The net effect is decreased humidity and skin hydration. Infant diapering practices have evolved from the use of (1) cloth (covered with plastic, impermeable over pants); to (2) disposable diapers with a cellulose core and a plastic outer cover; to (3) disposable diapers with highly absorbent polymers (known as superabsorbent polymers/materials); and to (4) absorbent gelling material diapers with a permeable or “breathable” outer cover.^{77–79,88–90}

Disposable diapers with superabsorbent polymers/materials have consistently reduced wetness, lowered rash scores, and lowered skin pH compared to other types of disposables and cloth. As diaper technology has evolved, the frequency of

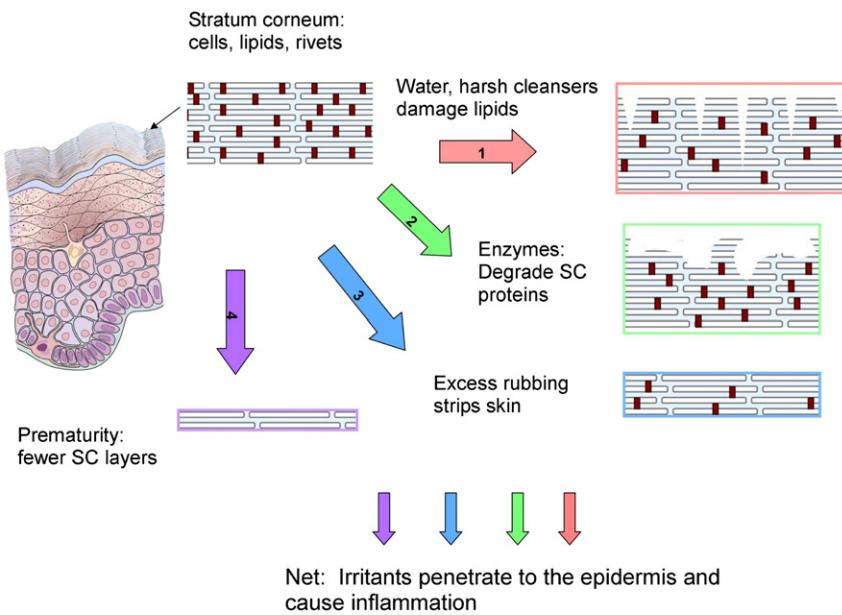


Fig. 5 Insults to infant skin.

severe diaper dermatitis has decreased.^{79,82,89} With cloth, severe dermatitis was 60% in contrast to 39% for cellulose disposables, 29% for superabsorbent disposables and 13% for superabsorbent polymer diapers with a breathable outer cover.^{88,91} Moderate rash went from 35% with cloth to 53% for cellulose and 56% for superabsorbent disposables, reflecting reduction in severe cases. Moderate dermatitis decreased to 32% for superabsorbent polymer diapers with breathable covers.⁸⁸ Severe diaper dermatitis, including dermatitis associated with *Candida albicans*, was reduced by 38% to 50% among infants in disposables with a breathable outer cover and the reduction was directly related to the technical breathability, a condition unfavorable to *C albicans* survival.⁹²

Diaper region environment

Several features of the diaper environment predispose the skin for damage, as illustrated in Figure 5. Overhydration, urine, feces, friction, increased skin pH, and diet, are detrimental to skin integrity via disruption of the SC lipids and ceramides leading to increased penetration and epidermal inflammation.^{42,93–96} Consequently, significantly higher IL-1 α levels were detected in the diapered skin compared to nondiapered skin.⁹⁵ Fecal enzymes can degrade SC proteins and cause inflammation.⁹⁷ Skin compromise triggers SC repair and initially results in hyperproliferation, a defective architecture, aberrant water binding properties, insufficient hydration, and inadequate desquamation; that is, until the normal homeostasis is restored. Development of diaper products should aim at increased speed and capacity of absorption and containment of excreta. Additional features such as inner liners with barrier cream preparations and breathable outer covers also help maintain skin homeostasis.⁸⁷

Cleansing the diaper region

Diapered skin can be cleansed with a soft cloth and oil-in-water lotion to assist in soil removal.⁸⁶ Cleansing with wipes of soft nonwoven substrate with water and emollient cleansers led to decreased skin irritation in a general population, atopic babies, and hospitalized premature and full-term neonates compared to cloth and water cleansing.^{73,98} Significantly lower TEWL indicated a more normalized SC barrier for wipes than for cloth and water. Well-formulated baby wipes are appropriate and effective for diaper skin care among similar NICU infants. Wipe treatment (substrate with cleansers and/or emollients) resulted in significantly lower erythema and roughness compared to water plus an implement (cotton washcloth, cotton wool balls) in healthy infants and infants with medically confirmed atopic dermatitis.^{84,98,99} Recent developments indicate a central role of skin pH homeostasis by baby wipes fighting etiologic factors for diaper rash but also supporting pH-driven physiologic enzymatic processes within SC restoration.¹⁵ Wipes used on diaper skin should contain only essential materials and be free of alcohol (except mild alcohols such as benzyl alcohols), Kathon CG (The Dow Chemical Company)/methylchloroisothiazolinone/methylisothiazolinone, fragrance, and ingredients of known irritancy or cytotoxicity.^{15,100}

Diaper dermatitis

The majority of diaper dermatitis is irritant contact dermatitis,¹⁰¹ whose resolution is based on early intervention and minimizing or eliminating the etiologic causes. The presence of infection (eg, yeast, bacterial) must first be

determined, and appropriate medical intervention obtained based on the infectious organism. The dermatitis due to *C albicans* typically includes bright red color, patchy pattern (areas may be surrounded by scales), and pustules. For irritant dermatitis, the goal is to allow for restoration despite continuing exposure to the offending agents. Ideally, topical treatments: (1) provide a semipermeable “film” over the damaged skin to allow the skin to repair itself; (2) provide a physical shield between the skin and the irritants, (3) remain in place on the skin (not removed by feces), and (4) allow for ease of skin cleansing (minimize stripping). Skin repair occurs more quickly when treated with barriers, creams, or films that are semipermeable to water vapor, because complete occlusion can delay healing.^{102,103} Some of these principles have been creatively integrated into diaper designs in the past decades including lotion-impregnated inner liners and water permeable outer covers, which contribute to lower relative humidity in the diaper.^{77,78,83,92}

Emollients

The practice of emollient application to infant skin varies globally. For example, oil massage is common in India. Oils, such as sunflower, safflower, sesame, and apricot contain fatty acids, particularly linoleic acid which has antiinflammatory properties,³⁵ increases the rate of SC barrier formation.^{14,36} The application of sunflower seed oil to the skin of premature infants who are less than 33 weeks gestational age at birth reduced the incidence of nosocomial blood infections by 41% in developing countries.¹⁰⁴ Application of creams, for example, petrolatum-based^{91,105} olive oil-lanolin cream,¹⁰⁶ facilitated skin barrier development in premature infants who were older than 29 to 30 weeks gestational age at birth. In contrast, a multicenter trial in very premature infants, found nosocomial infection rates to be significantly higher for twice-daily application of a petrolatum ointment versus control among infants from 501 to 750 grams,^{107–109} a finding that was not expected due to previous reports for somewhat older infants.⁹¹ Reports to date indicate that the effects of emollient therapy on infection are mixed and depend upon infant age.

Conclusions

At birth, the full-term neonate has a well-developed epidermal barrier fully competent to execute its primary functions of preventing water loss, segregating the internal and external environments of the organism, preventing systemic penetration of external substances and providing protection against solar (mainly ultraviolet) irradiation. In contrast, premature infants emerge from uterine existence with a partially to nearly non-existent (contingent on gestational age) epidermal barrier.

Paradoxically, in many settings, the first encounter of the neonate with skin cleansing practices will entail removal of the

beneficial and protective layer of vernix. Modern recommendations and guidelines recommend allowing the vernix layer to remain in place at least for some hours post-birth to enable its benefits for skin adaptation to the extrauterine environment.

In the full-term neonate, regimens that use gentle cleansers, mild surfactants, and water are entirely appropriate as neonates and young infants tolerate and respond well to a variety of skin cleansing regimens.^{10,11} Importantly, although gentle skin cleansing regimens are best indicated for neonatal skin, the epidermal barrier at birth is well-equipped to prevent/reduced penetration of most xenobiotics. Systemic toxicity due to use of cleansing ingredients, especially at the low concentrations generally found in infant skin care products, while not a concern that can be completely discarded, is not a high probability risk to infant wellbeing in modern societies.

Of special interest in the care of newborn skin is the diaper region which exists from shortly after birth onward, in an environment that can be more hostile to skin integrity than is the case for undiapered, air-exposed skin. Modern disposable diaper technology successfully has reduced some of the main negative impacts of overhydration, increased pH, friction, and other variables on skin integrity. The main concern in diaper area care is irritant dermatitis. The use of emollients, creams, and other protectants is indicated and beneficial to promote barrier repair if and when a child experiences a diaper rash.

To summarize, the weight of available evidence indicates that newborns are well equipped to respond positively to gentle hygiene care practices such as bathing with age-appropriate liquid cleansers. Similarly, the use of alcohol-free wipes and high absorbency disposable diapers, coupled with effective use of skin protectants such as oils, petrolatum-based ointments, and lotion-containing diapers provide safe, effective, and appropriately gentle approaches to care for the more challenging cleansing environment of the young diaper child.

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